

Ashburton Assurances Australasia (“Ashburton”) requires stakeholders in our business to have the confidence in Ashburton’s processes to manage potential conflicts of interests and impartiality should they arise and to ensure the confidentiality of information is maintained.

Ashburton’s Code of Conduct outlines the standards that Ashburton expects of all employees, contractors and advisors. The Code commits all to manage potential conflicts of interest and to respect and maintain privacy and confidentiality of information, in the course of their engagement with Ashburton.

To achieve a high standard of performance in these areas, Ashburton employees, contractors, committee members and advisors are required to avoid relationships, or engage in activities, which may give rise to a conflict between their own personal interest and the interests of Ashburton when dealing with clients.

Ashburton does not:

- Provide certification services to any other Certification Body’s management system/s;
- Provide management system consultancy services;
- Provide internal audits to certified clients;
- Provide certification services to any organisation where a relationship with Ashburton poses a threat to impartiality
- Offer services in such a way as, that a client has the impression that advantage would be gained if a specified consultancy organisation would be used.

Situations or circumstances where a potential conflict of interest may exist, or impartiality of our services may be compromised, are to be reported to the Managing Director. The Manager Director will review potential conflict and determine the appropriate course of action, if any, is necessary. In addition, Ashburton utilises an Impartiality Committee whose membership majority is made up of external persons who have no direct interest or involvement in the business. This Impartiality Committee can be convened if a conflict of interest or impartiality matter cannot and should not be undertaken by the senior management personnel of Ashburton.

Ashburton will maintain procedures to ensure the confidentiality of information that Ashburton, it’s employees, contractors and advisors encounter when providing our services. Ashburton’s employees, contractors and advisors will have in place signed confidentiality agreements, to safeguard information provided to Ashburton from clients and prospective clients.

Keith Jones

Managing Director

June 2022